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Randomised, double-blind, placebo-controlled trial of glycopyrronium in children and adolescents with severe sialorrhoea and neurodisabilities: protocol of the SALIVA trial

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3 **Randomised, double-blind, placebo-controlled trial of glycopyrronium in children and adolescents**
4 **with severe sialorrhoea and neurodisabilities: protocol of the SALIVA trial**
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ABSTRACT

Introduction Severe sialorrhoea is a common, distressing problem in children/adolescents with neurodisabilities, which has adverse health and social consequences. The SALIVA trial was designed to evaluate the efficacy and safety of a paediatric-specific oral solution of glycopyrronium along with its impact on quality-of-life (QoL), which has been lacking from previous trials of sialorrhoea treatments.

Methods and analysis A double-blind, placebo-controlled, randomised phase 4 trial is ongoing in several centres across France. Eighty children aged 3–17 years with severe sialorrhoea (≥ 6 on the modified Teachers Drooling Scale) related to chronic neurological disorders in whom non-pharmacological standard of care has already been implemented or has failed, will be recruited. Patients will be randomised 1:1 to receive a 2 mg/5 mL solution of glycopyrronium bromide (Sialanar® 320 µg/mL glycopyrronium) or placebo three times daily during a 3-month blinded period. After Day 84, participants will be invited into a 6-month, open-label study extension period, where they will all receive glycopyrronium. The primary endpoint of the double-blind period will be the change from baseline to Day 84 in the Drooling Impact Scale (DIS), a validated measure to assess sialorrhoea. A series of secondary efficacy endpoints involving change in total DIS, specific DIS items and response (DIS improvement ≥ 13.6 points) will be analysed in a prespecified hierarchy. QoL data will be collected from parents, caregivers and patients where possible using specific DIS questions and DISABKIDS questionnaires. Safety endpoints, including adverse events, will be assessed throughout the trial periods.

Ethics and dissemination The protocol has been approved by an independent ethics committee and the French Agence Nationale de Sécurité du Médicament. Recruitment started in May 2021 and final results are expected by the end of 2023. Findings will be presented at conferences and published in peer-reviewed journals.

Trial registration number EudraCT 2020-005534-15

Abstract word count: 296

What is already known on this topic

- Severe sialorrhoea is a common and distressing condition in paediatric patients with cerebral palsy and other neurological diseases, which negatively impacts their health, social interactions and quality of life (QoL).
- Previously, treatments with limited efficacy data and poor tolerability were used off-label and no licensed therapies were available. To date, no trials have assessed QoL outcomes in relation to the treatment of chronic drooling.
- In small, short-term clinical trials, glycopyrronium was shown to provide effective and well-tolerated improvement in chronic drooling and a novel paediatric specific oral formulation has now been specifically approved for paediatric use in Europe.

What this study hopes to add

- The randomised double-blind, placebo-controlled SALIVA trial will provide important information on the efficacy and long-term safety of the EU-licensed oral liquid formulation of 320 µg/mL glycopyrronium using validated sensitive drooling scales.
- The study will collect safety data over 9 months and, using patient and parent/caregiver questionnaires, will assess the impact of treatment on QoL.

INTRODUCTION

Sialorrhoea or drooling is a common problem in children with neurodevelopmental disorders. Pathological drooling occurs in 40–60% of children with cerebral palsy (CP)^{1–3} and is reported to be severe in 15% of cases.¹ Dysfunction in oral-motor control appears to be the most important predisposing factor to drooling, and less frequently, over production of saliva (hypersalivation).^{4,5} Excessive drooling can result in skin irritation and infection, dehydration due to fluid loss, aspiration pneumonia and recurrent respiratory infections.^{3,5} Furthermore, drooling can adversely affect social interactions and self-esteem.^{6,7} As such, drooling has been shown to impact on health-related quality-of-life (QoL) in children with CP, influencing both physical health and psychosocial health QoL scores.⁷ Excessive drooling also places additional demands on caregivers, including frequent changes of clothing and bibs, and can damage equipment.⁸

Despite the considerable burden, the effective treatment of drooling remains a challenge. Only a relatively small number of clinical trials have been conducted and few licensed treatments are available for the paediatric population. Traditionally, conservative non-pharmacological rehabilitation is used as the first approach prior to anticholinergic drugs being considered.^{5,9} Botulinum toxin injection and surgical methods are alternatives when other strategies have failed.

Anticholinergics used in the treatment of drooling include atropine, benzhexol hydrochloride, benztropine, scopolamine patches and glycopyrronium.^{5,10} Due to non-selectivity, undesirable central and peripheral effects are frequently reported with anticholinergics, including sedation, irritability, headache, constipation, urinary retention and flushing.^{5,11} Factors such as the volume of liquid per dose,¹² excipients and local tolerability issues also affect compliance and hence efficacy, safety and QoL. As a consequence of its quaternary charge, glycopyrronium has limited ability to penetrate the blood brain barrier compared to other anticholinergics resulting in fewer central adverse events (AEs).¹³ Limited trial data are available on the comparative efficacy and safety of anticholinergics; however, in the DRI trial and in a real-world study of children with developmental disabilities, glycopyrronium performed best in terms of reducing drooling with fewer AEs.^{10,14} QoL assessments have been lacking in the limited number of trials assessing sialorrhoea treatments.

Treatments for sialorrhoea had been mostly used off-label, but in 2016, the European Medicines Agency approved a novel paediatric-specific (in terms of concentration, excipients and licence) liquid formulation of 2 mg/5 mL glycopyrronium bromide (Sialanar® 320 µg/mL glycopyrronium) to treat severe sialorrhoea in children (aged ≥3 years) and adolescents under a Paediatric Use Marketing Authorization (PUMA).¹⁵

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3 Here we present the protocol of the SALIVA (Sialanar® plus oral rehabilitation against placebo plus
4 oral rehabilitation for children and adolescents with severe sialorrhoea and neurodisabilities) trial,
5 which is designed to evaluate the efficacy and safety of the 2 mg/5 mL oral glycopyrronium bromide
6 formulation, and also to assess its impact on QoL.
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10 11 12 **METHODS**

13 14 *Trial design*

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16 This is a double-blind, randomised, clinical phase 4 trial comparing the oral glycopyrronium
17 formulation (Sialanar®) with placebo, in addition to continued non-pharmacological rehabilitation.
18 The trial is ongoing in 14 centres in France and consists of a 3-month main blinded period and a 6-
19 month open-label extension (figure 1).
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24 25 26 *Trial population*

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28 Eligibility criteria are presented in table 1. Children with chronic neurodisabilities (CP, Angelman
29 syndrome, Rett's syndrome, epilepsy, amyotrophic lateral sclerosis and mental retardation) are
30 eligible to enrol if they were aged between 3 and 17 years old and had severe sialorrhoea (≥ 6 on the
31 modified Teachers Drooling Scale) after ≥ 3 months of non-pharmacological rehabilitation, with
32 Drooling Impact Scale (DIS) ≥ 50 . Children are not eligible if they received any anticholinergic therapy
33 in the previous 4 weeks, botulinum injection within 6 months or surgery for drooling in the previous
34 12 months.
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43 44 *Randomisation and intervention*

45 Using an Interactive Web Response System, eligible participants will be randomised 1:1 to receive
46 either the oral glycopyrronium formulation or a matched placebo oral solution, three-times daily in a
47 blinded manner for 3 months (figure 1). Placebo was selected as the comparator as, at the time of
48 designing the trial, no licensed treatments for severe sialorrhoea were available in France. The dose
49 of study drug will be titrated over the first 5 weeks, consistent with the Summary of Product
50 Characteristics of the active drug. Outpatient visits will take place at Day 28 and Day 84. Telephone
51 interviews will occur every week during the titration period and at Day 56.
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57 After the Day 84 assessment, patients will be invited to continue into a 6-month open-label
58 extension where all patients will receive glycopyrronium. For those patients who previously received
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3 placebo, a period of titration will be performed. Clinic visits are scheduled for Day 168, with a final
4 visit on Day 252.
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9 *Endpoints*

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11 The primary endpoint is the change in DIS score between baseline and Day 84. The DIS is a validated
12 and reliable subjective measure that has been shown to be responsive to changes¹⁶ and has been
13 used in other drooling studies.^{1,10,14} The French version, used in the SALIVA trial, is validated and has
14 good test-retest reliability.¹⁷ The DIS is specifically designed to quantify the short- to medium-term
15 treatment impact of saliva-control interventions. The questionnaire consists of 10 questions that are
16 rated between 1 and 10 on a Likert scale covering efficacy and QoL assessments (figure 2). Items are
17 completed by the investigator in an interview fashion with the same parent/carer (where possible)
18 who has frequent and consistent contact with the patient. Scores are totalled to give an overall
19 numerical rating of the severity and impact of drooling over the previous week. The lowest score is
20 10 and the maximum possible score is 100, with higher scores indicating greater severity and impact.
21 The minimally clinically relevant difference was selected to be 13.6 points based on the findings of
22 Reid *et al.*¹⁶ DIS items are related to the frequency and severity of drooling, the number of bib or
23 clothing changes per day and information gained from parents and carers such as skin irritation,
24 saliva smell, frequency of mouth wiping and the amount of saliva cleaning from household items
25 (figure 2). Other items deal with embarrassment about dribbling and the impact of drooling on the
26 child's and family's daily life.
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31 Secondary efficacy endpoints are change in DIS between baseline and Day 28, the proportion of
32 responders (DIS improvement ≥ 13.6 points) at Days 28 and 84, the proportion of good responders
33 (DIS improvement ≥ 28 points based on Reid *et al.*¹⁶) at Day 84, and changes in the number of used
34 bibs or clothing over 7 days (DIS Item 3) at Days 28 and 84. Secondary QoL endpoints include change
35 from baseline to Days 28 and 84 in DIS Item 9 ("To what extent did your child's drooling affect his or
36 her life?") and in DIS Item 10 ("To what extent did your child's dribbling affect you and your family's
37 life?").
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40 An additional QoL endpoint, change in DISABKIDS score from baseline to Day 84 and to Day 252, has
41 been included in an attempt to assess whether an improvement in drooling can be measured in
42 terms of overall QoL using a validated scale. DISABKIDS questionnaires are designed to assess health-
43 related QoL in children and adolescents with chronic diseases¹⁸ rather than with neurodisabilities.
44 The 37-item DISABKIDS Chronic Generic Measure for parents describes six dimensions
45 (independence, physical limitation, emotion, social inclusion, social exclusion and treatment) with a
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3 5-graded Likert scale transformed to numerical values (1–5), where higher values indicate better
4 health-related QoL. A short 12-item version is designed for children aged ≥ 8 years, while the 6-item
5 DISABKIDS Smiley Measure is designed for children aged 4 to 7 years or children who have not
6 reached the level of reading ability necessary to complete the generic DISABKIDS questionnaire.
7
8 Measuring QoL for children with drooling associated with neurological disabilities is challenging as a
9 result of differences in their capabilities to complete an assessment due to their age and range of
10 their abilities. Nevertheless, the measure has been included to establish whether it is sufficiently
11 sensitive to detect an effect of drooling treatment in this patient population.
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17 Safety endpoints include AEs recorded from baseline to Day 84, including all AEs, all AEs except for
18 dose-dependent expected AEs related to titration, AEs leading to discontinuation of study
19 medication, all serious AEs, all treatment-related AEs and all treatment-related AEs except for dose-
20 dependent expected AE related to titration.
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24 Endpoints in the open-label extension phase include changes in DIS from baseline to Day 252 and
25 from Day 84 to 252 in the glycopyrronium arm and between Day 84 to 252 for patients previously
26 receiving placebo. QoL endpoints include change from baseline to Day 252 in DIS Items 9 and 10 and
27 in the DISABKIDS questionnaires. All AEs from Days 84 to 252 will be recorded.
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32 33 34 *Statistical methods*

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36 A sample size of 23 subjects per group was calculated to be required to detect a clinically significant
37 difference of 13.6 points in the mean DIS score with 90% power, assuming a two-sided type 1 error
38 rate of 5%. Allowing for approximately 20–30% loss to follow up, enrolment of 60 children was
39 estimated to be required to evaluate the primary endpoint. However, target enrolment was set at 80
40 children to compensate for terminations and to have an expected number of 60 children continue
41 into the extension phase.
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46 The primary endpoint will be evaluated in the full analysis set (intention to treat [ITT]; which includes
47 all randomised patients analysed according to the treatment they were randomised to receive) and
48 in the modified ITT set (excludes all patients deemed ineligible after randomisation or who did not
49 start study medication). Mean DIS score differences will be compared between arms through
50 univariate analysis using Student t-tests considering the result of the equality of variances testing.
51 Sensitivity analyses will include comparisons of the means of score differences i) for patients with a
52 DIS completed strictly by the same person at Day 0 and 84, ii) when an unavailable DIS at Day 84 is
53 replaced by the latest available DIS and iii) in the per-protocol population (all patients who do not
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3 violate the terms of the protocol in a way that would affect the study outcome significantly, as
4 determined by the study clinician blinded to study drug assignment).

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7 A hierarchical test sequence is planned for the secondary efficacy endpoints, using the same analysis
8 methods as for the primary endpoint or the Chi-square test for the responder analysis. Secondary
9 efficacy endpoints will be tested individually until the first nonsignificant difference between the two
10 groups is found in the order: proportion of responders at Day 84, changes in DIS at Day 28,
11 proportion of responders at Day 28, proportion of good responders at Day 84, changes in bib/clothes
12 over 7 days at Day 84 and changes in bib/clothes over 7 days at Day 28.
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20 **CONCLUSIONS**

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22 Severe sialorrhoea places a considerable burden on children with neurodisabilities and on their
23 families. Few licensed treatments are available and clinical trial data are relatively scarce. The
24 SALIVA trial provides an opportunity to strengthen knowledge on the effects of a licensed
25 glycopyrronium formulation (Sialanar®) in terms of efficacy, using validated sensitive scales, with
26 regards to tolerability during titration and with long-term use, and also, for the first time to our
27 knowledge, by formally evaluating QoL using specific tools.
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32 Recruitment started in May 2021 and the trial is ongoing – primary results of the double-blind period
33 are expected in mid-2023 and final results are expected by the end of 2023.
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Contributors PF (Principal Investigator), SA, MD and DP are part of the Steering Committee; they participated in trial design and supervised drafting of the manuscript. HS, NP and FV participated in trial design and manuscript preparation. NT coordinates the trial, data collection and analysis. All authors approved the final draft of the manuscript.

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Competing interests PF receives fees from Merz Pharma for providing training sessions. SA has served as consultant or given lectures for Angelini, Biocodex, Eisai, Encoded, Grintherapeutics, Jazz Pharmaceuticals, Neuraxpharm, Orion, Nutricia, Proveca, UCB Pharma, Vitaflo, Xenon and Zogenix. SA has been an investigator for clinical trials for Eisai, Marinus, Proveca, Takeda, UCB Pharma and Zogenix. MD and DP report no competing interests. HS, NP and FV are employees of Proveca Ltd. NT is an employee of the contract research organisation, KAPPA Santé.

Patient and public involvement Patients are included in the trial after obtaining parental informed consent. Patients are not able to be involved in the design, recruitment, conduction and dissemination and there was no public involvement.

Patient consent for publication Not applicable.

Ethics approval The protocol was approved by an independent ethics committee and the French Agence Nationale de Sécurité du Médicament. The study is being conducted to the standards of Good Clinical Practice.

Provenance and peer review Not commissioned; externally peer reviewed.

Data availability statement Data sharing is not applicable as this manuscript describes a protocol. The trial is ongoing at the time of submission.

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Table 1. Eligibility criteria

Inclusion criteria
Age ≥ 3 and < 18 years old
Chronic neurological disorders such as polyhandicap, cerebral palsy, Angelman syndrome, Rett's syndrome, epilepsy, amyotrophic lateral sclerosis and mental retardation
Weight ≥ 13 kg
Diagnosis of severe sialorrhoea due to a chronic neurological disorder as assessed by a modified Teachers Drooling Scale ≥ 6
Drooling Impact Scale ≥ 50
Completed ≥ 3 months of non-pharmacological standard of care treatment (i.e., rehabilitation, e.g., intraoral stimulation and oral facial exercise)
Stable drooling for the past 4 weeks
Written consent form signed by parents (or, when applicable, the subject's legally acceptable representative)
Affiliated or beneficiary of a social security scheme
Nominated parent or carer who committed to complete questionnaires, with good ability to understand and speak French
Negative COVID-19 test at the start of the trial
Exclusion criteria
Botulinum injection for sialorrhoea given within 6 months of enrolment
Any anticholinergic therapy including scopolamine patches used in the previous 4 weeks
History of surgery for drooling in the previous 12 months
Contraindication to anticholinergics such as those with glaucoma, myasthenia gravis, urinary retention, severe renal impairment, history of intestinal obstruction, ulcerative colitis, paralytic ileus, pyloric stenosis or hypersensitivity to the active substance or the excipient
Receiving systemic immunosuppressive treatment (including cyclosporin, methotrexate, azathioprine cyclophosphamide, mycophenolic acid, anti TNF α or monoclonal antibodies) or with congenital immunodeficiency
Other non-permitted concomitant medications
Ongoing or planned orthodontic treatment over the study period

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3 Untreated oro-mandibular dystonia (isolated lingual dystonia accepted), clinical gastroesophageal
4 reflux or dental inflammatory dental conditions (e.g. dental caries or gingivitis)
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7 Participation in another clinical study within ≥ 30 days or within 5 half-lives of the last dose of the
8 investigational medicinal product (whichever is longer)
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11 Unwilling to provide assent to participate in the trial
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13 Family and carers unable to commit to the schedule of the study protocol
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15 Female patients who are lactating or pregnant, or planning a pregnancy within the study period
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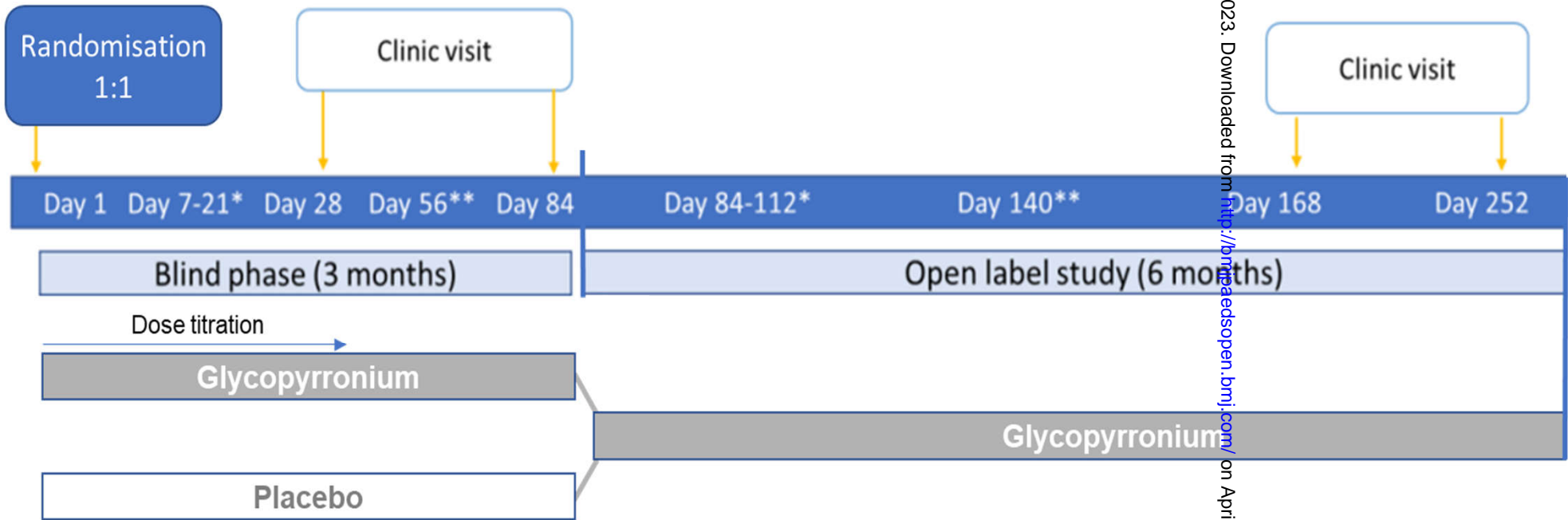
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3 **Figure 1. Trial design overview**
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5 *Telephone interviews once a week
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7 **Telephone interview
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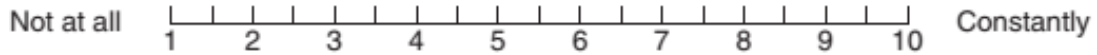
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11 **Figure 2. The Drooling Impact Scale¹⁶**
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13 A validated French translation¹⁷ of the Drooling Impact Scale was used in the SALIVA trial.
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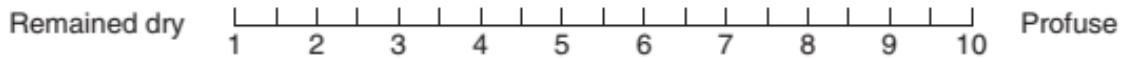


OVER THE PAST WEEK

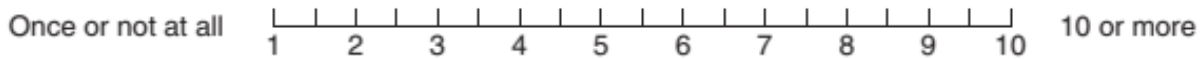
1. How frequently did your child dribble?



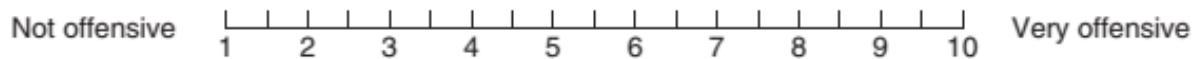
2. How severe was the drooling?



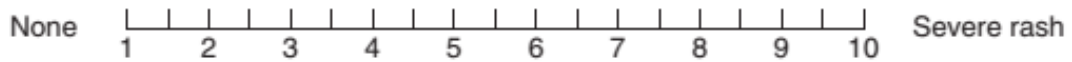
3. How many times a day did you have to change bibs or clothing due to drooling?



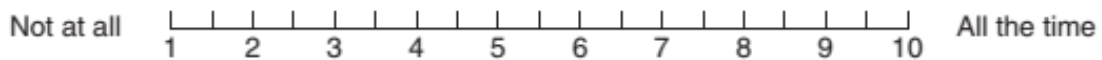
4. How offensive was the smell of the saliva on your child?



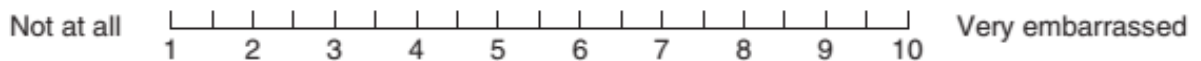
5. How much skin irritation has your child had due to drooling?



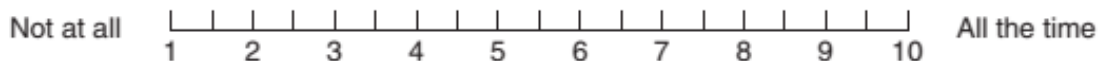
6. How frequently did your child's mouth need wiping?



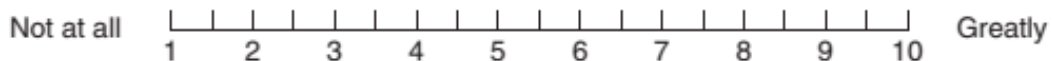
7. How embarrassed did your child seem to be about his/her dribbling?



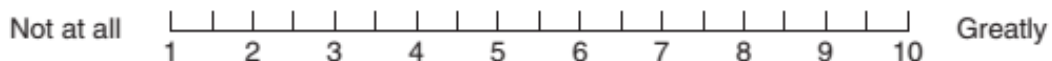
8. How much do you have to wipe or clean saliva from household items, e.g. toys, furniture, computers?



9. To what extent did your child's drooling affect his or her life?



10. To what extent did your child's dribbling affect you and your family's life?



BMJ Paediatrics Open

Randomised, double-blind, placebo-controlled trial of glycopyrronium in children and adolescents with severe sialorrhoea and neurodisabilities: protocol of the SALIVA trial

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Keywords:	Therapeutics

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3 **Randomised, double-blind, placebo-controlled trial of glycopyrronium in children and adolescents**
4 **with severe sialorrhoea and neurodisabilities: protocol of the SALIVA trial**
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49 **Manuscript word count:** 2,637 words
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ABSTRACT

Introduction Severe sialorrhoea is a common, distressing problem in children/adolescents with neurodisabilities, which has adverse health and social consequences. The SALIVA trial is designed to evaluate the efficacy and safety of a paediatric-specific oral solution of glycopyrronium along with its impact on quality-of-life (QoL), which has been lacking from previous trials of sialorrhoea treatments.

Methods and analysis A double-blind, placebo-controlled, randomised phase 4 trial is ongoing in several centres across France. Eighty children aged 3–17 years with severe sialorrhoea (≥ 6 on the modified Teachers Drooling Scale) related to chronic neurological disorders in whom non-pharmacological standard of care has already been implemented or has failed, will be recruited. Patients will be randomised 1:1 to receive a 2 mg/5 mL solution of glycopyrronium bromide (Sialanar® 320 µg/mL glycopyrronium) or placebo three times daily during a 3-month blinded period. After Day 84, participants will be invited into a 6-month, open-label study extension period, where they will all receive glycopyrronium. The primary endpoint of the double-blind period will be the change from baseline to Day 84 in the Drooling Impact Scale (DIS), a validated measure to assess sialorrhoea. A series of secondary efficacy endpoints involving change in total DIS, specific DIS items and response (DIS improvement ≥ 13.6 points) will be analysed in a prespecified hierarchy. QoL data will be collected from parents, caregivers and patients where possible using specific DIS questions and DISABKIDS questionnaires. Safety endpoints, including adverse events, will be assessed throughout the trial periods.

Ethics and dissemination The protocol has been approved by an independent ethics committee and the French Agence Nationale de Sécurité du Médicament. In total, 87 children have been recruited and recruitment is now complete. Final results are expected by the end of 2023. Findings will be presented at conferences and published in peer-reviewed journals.

Trial registration number EudraCT 2020-005534-15

Abstract word count: 297

KEY MESSAGES

What is already known on this topic

- Severe sialorrhoea is a common and distressing condition in paediatric patients with cerebral palsy and other neurological diseases, which negatively impacts their health, social interactions and quality of life (QoL).
- Previously, treatments with limited efficacy data and poor tolerability were used off-label, with no licensed therapies available, and no data on the impact of treatment on QoL outcomes.
- In small, short-term clinical trials, glycopyrronium was shown to provide effective and well-tolerated improvement in chronic drooling and a novel paediatric specific oral formulation has now been specifically approved for paediatric use in Europe.

What this study hopes to add

- The randomised double-blind, placebo-controlled SALIVA trial will provide important information on the efficacy of the EU-licensed oral liquid formulation of 320 µg/mL glycopyrronium using validated sensitive drooling scales, and will also assess safety and the impact of treatment on QoL.

How this study might affect research, practice or policy

- The results of this study will guide clinical decision-making for the treatment of severe drooling in children with neurodevelopmental disorders.

INTRODUCTION

Sialorrhoea or drooling is a common problem in children with neurodevelopmental disorders.

Pathological drooling occurs in 40–60% of children with cerebral palsy (CP)^{1–3} and is reported to be severe in 15% of cases.¹ Dysfunction in oral-motor control appears to be the most important predisposing factor to drooling, and less frequently, over production of saliva (hypersalivation).^{4,5}

Excessive drooling can result in skin irritation and infection, dehydration due to fluid loss, aspiration pneumonia and recurrent respiratory infections.^{3,5} Furthermore, drooling can adversely affect social interactions and self-esteem.^{6,7} As such, drooling has been shown to impact on health-related quality-of-life (QoL) in children with CP, influencing both physical health and psychosocial health QoL scores.⁷ Excessive drooling also places additional demands on caregivers, including frequent changes of clothing and bibs, and can damage equipment.⁸

Despite the considerable burden, the effective treatment of drooling remains a challenge. Only a relatively small number of clinical trials have been conducted and few licensed treatments are available for the paediatric population. Traditionally, conservative non-pharmacological rehabilitation is used as the first approach prior to anticholinergic drugs being considered.^{5,9}

Botulinum toxin injection and surgical methods are alternatives when other strategies have failed.

Anticholinergics used in the treatment of drooling include atropine, benzhexol hydrochloride, benzotropine, scopolamine patches and glycopyrronium.^{5,10} Due to non-selectivity, undesirable central and peripheral effects are frequently reported with anticholinergics, including sedation, irritability, headache, constipation, urinary retention and flushing.^{5,11} Factors such as the volume of liquid per dose,¹² excipients and local tolerability issues also affect compliance and hence efficacy, safety and QoL. As a consequence of its quaternary charge, glycopyrronium has limited ability to penetrate the blood brain barrier compared to other anticholinergics resulting in fewer central adverse events (AEs).¹³ Limited trial data are available on the comparative efficacy and safety of anticholinergics; however, in the DRI trial and in a real-world study of children with developmental disabilities, glycopyrronium performed best in terms of reducing drooling with fewer AEs.^{10,14} The most common AEs with glycopyrronium are dry mouth (11%), constipation (20%), diarrhoea (18%), vomiting (18%), urinary retention (15%), flushing (11%) and nasal congestion (11%).¹⁵ QoL assessments have been lacking in the limited number of trials assessing therapeutic options.

Treatments for sialorrhoea had been mostly used off-label, but in 2016, the European Medicines Agency approved a novel paediatric-specific (in terms of concentration, excipients and licence) liquid formulation of 2 mg/5 mL glycopyrronium bromide (Sialanar® 320 µg/mL glycopyrronium) to treat

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3 severe sialorrhoea in children (aged ≥ 3 years) and adolescents under a Paediatric Use Marketing
4 Authorization (PUMA).¹⁵

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7 Here we present the protocol of the SALIVA (Sialanar® plus oral rehabilitation against placebo plus
8 oral rehabilitation for children and adolescents with severe sialorrhoea and neurodisabilities) trial,
9 which is designed to evaluate the efficacy and safety of the 2 mg/5 mL oral glycopyrronium bromide
10 formulation, and also to assess its impact on QoL.
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14 15 16 **METHODS**

17 18 *Trial design*

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20 This is a double-blind, randomised, clinical phase 4 trial comparing the oral glycopyrronium
21 formulation (Sialanar®) with placebo, in addition to continued non-pharmacological rehabilitation.
22 Thirteen centres in France recruited participants and this recruitment is now complete. The trial
23 consists of a 3-month main blinded period and a 6-month open-label extension (figure 1).
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30 31 *Trial population*

32 Eligibility criteria are presented in table 1. Investigators considered new and existing patients for
33 possible enrolment and obtained written consent from both parents (or the participant's legally
34 acceptable representative[s] where applicable) before recruitment. Children with chronic
35 neurodisabilities (CP, Angelman syndrome, Rett's syndrome, epilepsy, amyotrophic lateral sclerosis
36 and mental retardation) were eligible to enrol if they were aged between 3 and 17 years old and had
37 severe sialorrhoea (defined as ≥ 6 on the modified Teachers Drooling Scale) and a Drooling Impact
38 Scale (DIS) score ≥ 50 . All participants had received ≥ 3 months of non-pharmacological rehabilitation,
39 according to the standard of care outlined in French guidelines,¹⁶ and will continue to receive the
40 same regimen during the trial. Children were not eligible if they received any anticholinergic therapy
41 in the previous 4 weeks, botulinum injection within 6 months or surgery for drooling in the previous
42 12 months.
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53 54 *Randomisation and intervention*

55 Using an Interactive Web Response System, eligible participants were randomised 1:1 to receive
56 either the oral glycopyrronium formulation or a matched placebo oral solution, three-times daily in a
57 blinded manner for 3 months (figure 1). Placebo was selected as the comparator as, at the time of
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3 designing the trial, no licensed treatments for severe sialorrhoea were available in France. The dose
4 of study drug will be titrated over the first 5 weeks, consistent with the Summary of Product
5 Characteristics (SmPC) of the active drug. Similarly, following the dose titration period, as per the
6 SmPC, the child's sialorrhoea will be monitored by the healthcare professional, in conjunction with
7 the carer, to assess changes in efficacy and/or tolerability over time, and the dose adjusted
8 accordingly. At enrolment, instructions were given to carers that treatment should be stopped and
9 they should seek advice from the investigator in the event of constipation, urinary retention,
10 pneumonia, allergic reaction, pyrexia, very hot weather or changes in behaviour. After evaluating
11 the event, the investigator will decide if treatment should remain stopped or a lower dose used. The
12 parent/carers has been instructed to complete a notebook daily to record the dosage used and any
13 AEs. Outpatient visits will take place at Day 28 and Day 84. Telephone interviews will occur every
14 week during the titration period and at Day 56. All data are collected by the investigator and their
15 study team at the study site. Pseudonymised data are entered by site personnel into a secure and
16 validated electronic Case Report Form that is managed by the delegated contract research
17 organisation with strict adherence to European Union General Data Protection Regulation.
18 Identifiable, sensitive information is located securely at the study sites, and is made available to
19 delegated members of the study team to verify accuracy of data entry only.

20
21 After the Day 84 assessment, patients will be invited to continue into a 6-month open-label study
22 extension (OLSE) where all patients will receive glycopyrronium. For those patients who previously
23 received placebo, a period of titration will be performed. Clinic visits are scheduled for Day 168, with
24 a final visit on Day 252.

25 26 27 28 29 30 31 32 33 34 35 36 37 38 39 40 41 42 *Endpoints*

43 The primary endpoint is the change in DIS score between baseline and Day 84. The DIS is a validated
44 and reliable subjective measure that has been shown to be responsive to changes¹⁷ and has been
45 used in other drooling studies.^{1,10,14} The French version, used in the SALIVA trial, is validated and has
46 good test-retest reliability.¹⁸ The DIS is specifically designed to quantify the short- to medium-term
47 treatment impact of saliva-control interventions. The questionnaire consists of 10 questions that are
48 rated between 1 and 10 on a Likert scale covering efficacy and QoL assessments (figure 2). Items are
49 completed by the investigator in an interview fashion with the same parent/carers (where possible)
50 who has frequent and consistent contact with the patient. Scores are totalled to give an overall
51 numerical rating of the severity and impact of drooling over the previous week. The lowest score is
52 10 and the maximum possible score is 100, with higher scores indicating greater severity and impact.

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3 The minimally clinically relevant difference was selected to be 13.6 points based on the findings of
4 Reid *et al.*¹⁷ DIS items are related to the frequency and severity of drooling, the number of bib or
5 clothing changes per day and information gained from parents and carers such as skin irritation,
6 saliva smell, frequency of mouth wiping and the amount of saliva cleaning from household items
7 (figure 2). Other items deal with embarrassment about dribbling and the impact of drooling on the
8 child's and family's daily life.
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14 Secondary efficacy endpoints are change in DIS between baseline and Day 28, the proportion of
15 responders (DIS improvement ≥ 13.6 points) at Days 28 and 84, the proportion of good responders
16 (DIS improvement ≥ 28 points based on Reid *et al.*¹⁷) at Day 84, and changes in the number of used
17 bibs or clothing over 7 days (DIS Item 3) at Days 28 and 84. Secondary QoL endpoints include change
18 from baseline to Days 28 and 84 in DIS Item 9 ("To what extent did your child's drooling affect his or
19 her life?") and in DIS Item 10 ("To what extent did your child's dribbling affect you and your family's
20 life?").
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26 An additional QoL endpoint, change in DISABKIDS score from baseline to Day 84 and to Day 252, has
27 been included in an attempt to assess whether an improvement in drooling can be measured in
28 terms of overall QoL using a validated scale. DISABKIDS questionnaires are designed to assess health-
29 related QoL in children and adolescents with chronic diseases¹⁹ rather than with neurodisabilities.
30
31 The 37-item DISABKIDS Chronic Generic Measure for parents describes six dimensions
32 (independence, physical limitation, emotion, social inclusion, social exclusion and treatment) with a
33 5-graded Likert scale transformed to numerical values (1–5), where higher values indicate better
34 health-related QoL. A short 12-item version is designed for children aged ≥ 8 years, while the 6-item
35 DISABKIDS Smiley Measure is designed for children aged 4 to 7 years or children who have not
36 reached the level of reading ability necessary to complete the generic DISABKIDS questionnaire.
37
38 Measuring QoL for children with drooling associated with neurological disabilities is challenging as a
39 result of differences in their capabilities to complete an assessment due to their age and range of
40 their abilities. Nevertheless, the measure has been included to establish whether it is sufficiently
41 sensitive to detect an effect of drooling treatment in this patient population.
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50 Regarding safety, collection of AEs commenced at the time of informed consent and will continue to
51 be collected from the parent/carer and participant, where possible, at every visit (plus outside of
52 visits in the daily notebook, as needed) until the final visit of the OLSE. Safety endpoints include AEs
53 recorded from baseline to Day 84, including all AEs, all AEs except for dose-dependent expected AEs
54 related to titration, AEs leading to discontinuation of study medication, all serious AEs, all treatment-
55 related AEs, and all treatment-related AEs except for dose-dependent expected AE related to
56 titration.
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3 Endpoints in the open-label extension phase include changes in DIS from baseline to Day 252 and
4 from Day 84 to 252 in the glycopyrronium arm and between Day 84 to 252 for patients previously
5 receiving placebo. QoL endpoints include change from baseline to Day 252 in DIS Items 9 and 10 and
6 in the DISABKIDS questionnaires. All AEs from Days 84 to 252 will be recorded.
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10 11 12 *Statistical methods*

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14 A sample size of 23 subjects per group was calculated to be required to detect a minimal clinically
15 significant difference of 13.6 points in the mean DIS score with 90% power, assuming a two-sided
16 type 1 error rate of 5% and 13.6 as standard deviation. Allowing for approximately 20–30% loss to
17 follow up, enrolment of 60 children was estimated to be required to evaluate the primary endpoint.
18 However, target enrolment was set at 80 children to compensate for terminations and to have an
19 expected number of 60 children continue into the extension phase.
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25 The primary endpoint will be evaluated in the full analysis set (intention to treat [ITT]; which includes
26 all randomised patients analysed according to the treatment they were randomised to receive) and
27 in the modified ITT set (excludes all patients deemed ineligible after randomisation or who did not
28 start study medication). Mean DIS score differences will be compared between arms through
29 univariate analysis using Student t-tests considering the result of the equality of variances testing.
30 Sensitivity analyses will include comparisons of the means of score differences i) for patients with a
31 DIS completed strictly by the same person at Day 0 and 84, ii) when an unavailable DIS at Day 84 is
32 replaced by the latest available DIS and iii) in the per-protocol population (all patients who do not
33 violate the terms of the protocol in a way that would affect the study outcome significantly, as
34 determined by the study clinician blinded to study drug assignment).
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43 For the primary criteria, a single statistical test will be done to control the alpha risk. Exploratory
44 comparative analysis of DIS score change at Day 84 (+/- 5 days) between the two treatment arms,
45 adjusting for baseline DIS score, will be performed with analysis of covariance. Secondary efficacy
46 endpoints will be explored. To address the issue of multiple testing and to limit the inflation of the
47 alpha risk, a hierarchical test sequence is planned for these endpoints in the order: proportion of
48 responders at Day 84, changes in DIS at Day 28, proportion of responders at Day 28, proportion of
49 good responders at Day 84, changes in bib/clothes over 7 days at Day 84 and changes in bib/clothes
50 over 7 days at Day 28. The secondary efficacy endpoints will be tested individually, in that order, if
51 the statistical test on the primary endpoint is significant and until the first nonsignificant difference
52 is found between the two treatment groups. All secondary endpoints will be described but once
53 significance is lost, any additional analyses will be exploratory in nature and not confirmatory since
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3 no statistical test will be applied. No multiplicity adjustment will be made to the confidence
4 intervals. The same analysis methods will be used for secondary endpoints as for the primary
5 endpoint, with the Chi-square test used for the responder analysis.
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9 The analysis of QoL scores change over time between treatment arms will be performed as a
10 repeated-measures analysis using all available timepoints. The results from mixed analysis of
11 variance models will be used to assess mean difference and significance in DIS Item-9 score, DIS
12 Item-10 score and total DISABKIDS scores, between treatment arms, considering each timepoint
13 assessment.
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18 All AEs, regardless of causality with study drug or seriousness, that occur from the first intake of
19 study treatment until the end of study or until 3 days after the last intake of study treatment) will be
20 considered in descriptive analyses.
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24 In the OLSE, the endpoints will be described overall and according to the ex-treatment arm (ex-
25 glycopyrronium arm or ex-placebo arm). AEs recorded from Day 0 to Day 252 will be analysed for all
26 subjects who were assigned glycopyrronium for the entire period. Descriptive analyses will be
27 performed and summary tabulations presented. No statistical test will be applied in the OLSE
28 analysis.
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34 *Trial oversight*

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36 The sponsor (Proveca) participated in the design of the trial and is overseeing its conduct with a
37 Steering Committee of Pierre Fayoux (Principal Investigator), Stéphane Auvin and Mickael Dinomais
38 in conjunction with medical expert, Denis Pouchain. The trial is being conducted at the following
39 sites (with lead clinicians shown in brackets): Jeanne de Flandre Hospital, Lille (Pierre Fayoux);
40 Hôpital Robert Debré, Paris (Stéphane Auvin); CHU Angers-Les Capucins, Angers (Mickael Dinomais);
41 Hôpitaux de Saint-Maurice, Paris (Aurélié Keslick); ESEAN Nantes (Guy Letellier); HFME l'Escale –
42 CHU Lyon (Claire Mietton); MPR Dpt – CHU Grenoble (Véronique Bourg); CHU Poincaré – APHP
43 Garches (Delphine Verollet); CAMPS – CHU Rouen (Stéphane Rondeau); CHU Strasbourg (Vincent
44 Laugel); CHU La Timone APH – Marseille (Béatrice Desnous); CHU Saint-Etienne (Vincent Gautheron);
45 and CMCR Les Massues Lyon (Fabienne Roumenoff).
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56 *Trial status*

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58 The trial is ongoing. Recruitment started in May 2021 and is now complete, with 87 children
59 enrolled. There have been no major protocol amendments except those related to the COVID-19
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3 pandemic, namely, extension of the inclusion period and the requirement for the negative COVID-19
4 test at enrolment. Primary results of the double-blind period are expected in mid-2023 and final
5 results are expected by the end of 2023.
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10 **CONCLUSIONS**

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12 Severe sialorrhoea places a considerable burden on children with neurodisabilities and on their
13 families. Few licensed treatments are available and clinical trial data are relatively scarce. The
14 SALIVA trial provides an opportunity to strengthen knowledge on the effects of a licensed
15 glycopyrronium formulation (Sialanar®) in terms of efficacy, using validated sensitive scales, with
16 regards to tolerability during titration and with long-term use, and also by formally evaluating QoL
17 using specific tools.
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3 **Contributors** PF (Principal Investigator), SA, MD and DP are part of the Steering Committee; they
4 participated in trial design and supervised drafting of the manuscript. HS, NP and FV participated in
5 trial design and manuscript preparation. NT coordinates the trial, data collection and analysis. All
6 authors approved the final draft of the manuscript.
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9

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11 manuscript, editorial assistance was provided by Emma Marshman and funded by Proveca Ltd.
12

13 **Competing interests** PF receives fees from Merz Pharma for providing training sessions. SA has
14 served as consultant or given lectures for Angelini, Biocodex, Eisai, Encoded, Grintherapeutics, Jazz
15 Pharmaceuticals, Neuraxpharm, Orion, Nutricia, Proveca, UCB Pharma, Vitaflo, Xenon and Zogenix.
16 SA has been an investigator for clinical trials for Eisai, Marinus, Proveca, Takeda, UCB Pharma and
17 Zogenix. MD and DP report no competing interests. HS, NP and FV are employees of Proveca Ltd. NT
18 is an employee of the contract research organisation, Kappa Santé.
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24 **Patient and public involvement** Patients are included in the trial after obtaining parental informed
25 consent. Patients are not able to be involved in the design, recruitment, conduction and
26 dissemination and there was no public involvement.
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30 **Patient consent for publication** Not applicable.
31

32 **Ethics approval** The protocol was approved by an independent ethics committee and the French
33 Agence Nationale de Sécurité du Médicament. The study is being conducted to the standards of
34 Good Clinical Practice.
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38 **Provenance and peer review** Not commissioned; externally peer reviewed.
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40 **Data availability statement** Data sharing is not applicable as this manuscript describes a protocol.
41 The trial is ongoing at the time of submission.
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Table 1. Eligibility criteria

Eligibility criteria		
Inclusion	Age ≥ 3 and < 18 years old	
	Chronic neurological disorders such as polyhandicap, cerebral palsy, Angelman syndrome, Rett's syndrome, epilepsy, amyotrophic lateral sclerosis and mental retardation	
	Weight ≥ 13 kg	
	Diagnosis of severe sialorrhoea due to a chronic neurological disorder as assessed by a modified Teachers Drooling Scale ≥ 6	
	Drooling Impact Scale ≥ 50	
	Completed ≥ 3 months of non-pharmacological standard of care treatment (i.e., rehabilitation, e.g., intraoral stimulation and oral facial exercise)	
	Stable drooling for the past 4 weeks	
	Written consent form signed by parents (or, when applicable, the subject's legally acceptable representative)	
	Affiliated or beneficiary of a social security scheme	
	Nominated parent or carer who committed to complete questionnaires, with good ability to understand and speak French	
	Negative COVID-19 test at the start of the trial	
	Exclusion	Botulinum injection for sialorrhoea given within 6 months of enrolment
		Any anticholinergic therapy including scopolamine patches used in the previous 4 weeks
History of surgery for drooling in the previous 12 months		
Contraindication to anticholinergics such as those with glaucoma, myasthenia gravis, urinary retention, severe renal impairment, history of intestinal obstruction, ulcerative colitis, paralytic ileus, pyloric stenosis or hypersensitivity to the active substance or the excipient		
Receiving systemic immunosuppressive treatment (including cyclosporin, methotrexate, azathioprine cyclophosphamide, mycophenolic acid, anti TNF α or monoclonal antibodies) or with congenital immunodeficiency		
Other non-permitted concomitant medications		

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4	Ongoing or planned orthodontic treatment over the study period
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6	Untreated oro-mandibular dystonia (isolated lingual dystonia accepted), clinical
7	gastroesophageal reflux or dental inflammatory dental conditions (e.g. dental caries
8	or gingivitis)
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11	Participation in another clinical study within ≥ 30 days or within 5 half-lives of the last
12	dose of the investigational medicinal product (whichever is longer)
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15	Unwilling to provide assent to participate in the trial
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17	Family and carers unable to commit to the schedule of the study protocol
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19	Female patients who are lactating or pregnant, or planning a pregnancy within the
20	study period
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Figure 1. Trial design overview

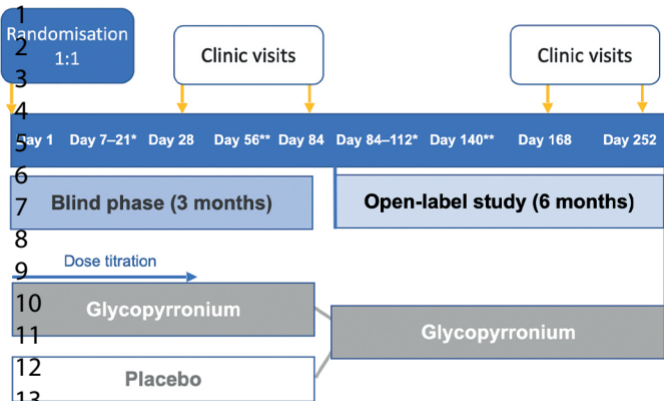
*Telephone interviews once a week

**Telephone interview

Figure 2. The Drooling Impact Scale¹⁷


A validated French translation¹⁸ of the Drooling Impact Scale was used in the SALIVA trial.

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Page 19 of 18 BMJ Paediatrics Open


1 How frequently did your child drool?
 Not at all  Constantly


2 How severe was the drooling?
 1 Remained dry  Profuse


3 How many times a day did you have to change bibs or clothing due to drooling?
 3 Once or not at all  10 or more

4 How offensive was the smell of the saliva on your child?
 5 Not offensive  Very offensive

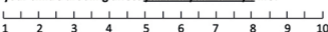
6 How much skin irritation has your child had due to drooling?
 7 None  Severe rash

8 How frequently did your child's mouth need wiping?
 9 Not at all  All the time

10 How embarrassed did your child seem to be about his/her drooling?
 11 Not at all  Very embarrassed

12 How much do you have to wipe or clean saliva from household items, e.g. toys, furniture, computers?
 13 Not at all  All the time

14 To what extent did your child's drooling affect his or her life?
 15 Not at all  Greatly

16 To what extent did your child's drooling affect you and your family's life?
 17 Not at all  Greatly